# C.948

FORM K

## COMPETITION AND CONSUMER AUTHORITY MERGER NOTICE

(*reg 18* (*1*))

**Part I — Notice To:** The Competition and Consumer Authority

## From:

(Name, address and contact numbers of the Enterprise reporting the merger).

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The acquiring Enterprise & the target Enterprise And involving as

The acquiring Enterprise & the target Enterprise

## Schedule 1

The names and address of all other acquiring or target Enterprises as defined in the Competition Act, and of all trade unions, or employee representatives of the acquiring and target Enterprises, are listed on this Notice.

## Schedule 2

Particulars of the merger are set out, in the attached statement of merger information, which forms part of this Notice.

Notices and other documents concerning this merger may be served on the following person at the address and contact number shown:

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## About this form

Complete and sign the declaration form on page 2.

Parties to the merger must not implement that merger until they have received a certificate of approval, or conditional approval, in terms of the Competition Act.

**NB:** The form should be sent to the Competition and Consumer Authority at the following address:

Competition and Consumer Authority Private Bag 00101

Gaborone

# C.949

## Part II — Declaration Form

(Name and File number of merger)

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## Declaration:

I have

prepared, or supervised those who prepared this Statement of Merger Information. To the best of my knowledge, the information contained in this document, and the attachments to it, is true, correct and completed in terms of the Competition Act and Competition Regulations, except to the extent that I have indicated —

1. that requested data is not available in books or records, and reasonable estimates have been used instead: or
2. Complete information has not been provided because it is unavailable, in which case I have attached an affidavit sworn by me, explaining why the information is unavailable.

I understand —

1. that it is an offence in terms of the Competition Act to provide false information to the Competition and Consumer Authority in any manner, including in these documents; and
2. That section 91 of the Competition Act provides for a penalty of a fine, or imprisonment, or both, if I am found guilty of knowingly providing false information to the Competition and Consumer Authority.

## Name and Title of person authorised to sign:

**Authorised Signature: Date:**

**For Office Use only** Authority’s file number: Date filed:

............................................ ........................

## About this Form

If any required information is not available, you must attach a sworn affidavit explaining why that information is unavailable.

A party to a merger who does not file a notice of that merger as required by the Competition Act may be fined an administrative penalty or made subject to an order of divestiture.

Approval or conditional approval of a merger may be revoked if it is subsequently discovered that this Notice does not provide correct and complete information.

The application should be sent to the address to be determined by the Authority.

**NB:** The form should be sent to the Competition and Consumer Authority at the following address:

Competition and Consumer Authority Private Bag 00101

Gaborone

# C.950

**Part III — Merger Control Statement To:** The Competition and Consumer Authority

## From:

(Name of Enterprise filing this Statement)

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The Enterprise filing this statement is:

The Acquiring Enterprise

The Target Enterprise

On a separate document, provide the following information in the order listed in items 1 – 7.

1. State the name and principal business address of the party filing this Notice.
2. State the name and principal business address of all firms directly or indirectly controlling you.
3. List the name and principal business of each firm directly or indirectly controlled by each firm referred to in item 2.
4. List the name and principal business address of each firm you directly or indirectly control.
5. State your annual turnover in Botswana for your preceding financial year and the range of months covered by your financial year.
6. State the value of your assets in Botswana as of the end of your preceding financial year, and as of the date of the Merger Notice.
7. State your annual turnover in Botswana as of the date of the Merger Notice.

## Authorised Signature: Date:

**For Office Use only** Authority file number: Date filed:

................................... ....................

## About this form

Attach to this form the following items:

The most recent version of all documents constituting the merger agreement.

Each report or other documents assessing the transaction with respect to competitive conditions.

Any document including minutes, reports presentations and summaries, prepared for the Board of Directors regarding the transaction.

# C.951

**NB:** The form should be sent to the Competition and Consumer Authority at the following address:

Competition and Consumer Authority Private Bag 00101

Gaborone

## COMPETITION AND CONSUMER AUTHORITY

**Transaction Information**

On a separate document provide the following information in the order listed in items 8 – 12.

1. Indicate whether the party filing this Notice is –
	1. Acquiring assets, shares, or other interests.
	2. Selling assets, shares, or other interests: or
	3. Acquiring and selling assets, shares or other interests
2. Indicate whether the transaction involves:
	1. Foreign Direct Investment
	2. a management Buy-out
	3. a Buy-back of shares
3. Describe the merger, including: the parties to the transaction; the assets shares, or other interests being required; whether the assets, shares, or other interests are being purchased, leased, combined or otherwise transferred; the consideration, the contemplated timing for any major events required to bring about the completion of the transaction, and the intended structure of ownership and control of the completion of the merger.
4. Unless you have submitted a report addressing the issues listed below, provide the following details:
	1. the estimated market shares of the merging parties and other competitions.
	2. information concerning barriers to entry, such as regulatory requirements, capital requirements and sunk costs, the time it will take for potential entrants to enter the market, the names and contact details of entrants to the market during the past three years;
	3. information concerning import competition, such as existing import duties; and
	4. countervailing power (customers of suppliers).
5. If you are relying on a “failing enterprise defence’’, please include the following information:

# C.952

* 1. financial information demonstrating that the enterprise will be unable to meet its financial obligations in future;
	2. information concerning efforts taken to elicit reasonable alternative offers; and
	3. information indicating that the failing enterprise would reasonably be expected to exit the market unless the merger is implemented.

## Authorised Signature: Date:

**For Office Use only** Authority file number: Date filed:

......................... .................................... .......................

Until the Authority has reached a decision concerning the merger, you must submit any revised or final version of each document referred to in the instructions on Page 1 of this form, as it becomes available. You may include any other relevant information that you want the Chief Executive Officer to consider.

**NB:** The form should be sent to the Competition and Consumer Authority at the following address:

Competition and Consumer Authority Private Bag 00101

Gaborone

## COMPETITION AND CONSUMER AUTHORITY

**Products and Services**

On a separate document provide the following information in the order listed in items 13 – 17.

1. Identify each product that you or another acquiring enterprise (or target enterprise, if applicable), sell, and each service you or that other enterprise provide in, into or from Botswana. In addition, identify any products or services that you believe are considered by buyers as reasonably interchangeable with, or a substitute for, a product or service provided in, into or from Botswana by another party to the merger.
2. For each identified product or service, state the geographic areas(s) in Botswana in which you sell or provide service.
3. For each identified product or service, identify, and provide contact details for, the five producers or providers in each identified geographic area with the largest estimated turnover in value, and their estimated share of the total turnover during the last full 12 months.
4. For each identified product or service, state your turnover in each of identified geographic areas during the last full 12 months.

# C.953

1. For each identified product or service identify and provide contact details for your five customers in each of identified geographic area with the largest aggregate purchases in value during the last full 12 months.

## Business Relationships among parties

On a separate document provide the following information set out in item 18.

1. State the name of any other Acquiring Enterprise (or Target Enterprise, if applicable) that sells to you, identify each product or service sold, and for each such product or service, show the value of that product or service sold during your preceding financial year.

**For Office Use only** Authority file number: Date filed:

........................... ...................................... ...................................

Approval or conditional approval of a merger may be revoked if it is subsequently discovered that this statement of information is not accurate.

Generally, information relating to this merger is not part of the public record while the Competition and Consumer Authority is considering the merger.

On a separate document provide the following information in the order listed in items 19 – 24.

1. Name of the Competition Agency where parties have already notified or plan to notify;
2. Anticipated impact, if any, of the merger in Botswana —
3. Employment creation and/or maintenance,
4. Skills transfer,
5. FDI,
6. Citizen Economic empowerment,
7. SMME advancement, and
8. Consumer benefits.
9. Provide certified copies of certificate of incorporation and Shareholders Agreements, indicating names of the shareholders and directors of each enterprise together with their nationalities.
10. State other business interests that the shareholders have in Botswana.
11. State any previous acquisition that the company was involved in over the past 5 years in Botswana, if any.
12. Submit audited financial statements or signed management accounts.

# C.954

**NB:** The form should be sent to the Competition and Consumer Authority at the following address:

Competition and Consumer Authority Private Bag 00101

Gaborone

# C.955

FORM L

## COMPETITION AND CONSUMER AUTHORITY REQUEST FOR ADDITIONAL MERGER INFORMATION

(*reg 19*)

**Date:** ................................................................

## To:

(Name of enterprise)

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(Name and file number of merger)

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On , you filed documents in connection with the above named merger, as

required by the Competition Act and the Competition Regulations.

Following a preliminary review of your notice, and the information you have provided to date, the Competition and Consumer Authority has concluded that your notification is incomplete.

Please be advised that the Competition and Consumer Authority has reason to believe that a document filed by you in respect of this merger —

* 1. contains false or misleading information; or
	2. does not have complete information

as noted on the attached sheet. \***please tick (appropriate)**

In terms of section 49 (3) of the Competition Act, the Competition and Consumer Authority requires you to file the following additional information in respect of your merger, as listed on the attached sheet, within business days after you received this notice.

Please note that you will not have completed your notification requirements until you have filed corrected information to the satisfaction of the Competition and Consumer Authority.

## Name and Title of person authorised to sign on behalf of the Competition and Consumer Authority.

**Authorised Signature:**

**NB:** The form should be sent to the Competition and Consumer Authority at the following address:

Competition and Consumer Authority Private Bag 00101

Gaborone

# C.956

FORM M

## COMPETITION AND CONSUMER AUTHORITY

**NOTICE OF EXTENSION OF MERGER ASSESSMENT AND DETERMINATION**

(*reg 20*)

**To:** ..................................................................... **Date:** .........................................

Pursuant to section 49 (5) of the Competition Act, the Authority is extending the period of assessment before determination of the merger for days (not exceeding 60 days).

The extension of the period has been necessitated by the following factors:

(i) ....................................................................................................................

(ii) ....................................................................................................................

(iii) ...................................................................................................................

SIGNATURE : ........................................................

*Chief Executive Officer*

# C.957

FORM N

## COMPETITION AND CONSUMER AUTHORITY NOTICE OF ABANDONED MERGER

(*reg 23* (*1*))

**To:** The Competition and Consumer Authority

## From:

(Name of acquiring enterprise):

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(Name and file number of merger):

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Take notice that the above named enterprise:

1. has abandoned the intended merger previously notified under the file number shown above on the basis that (state/provide the reasons for abandonment)

 ; and

1. has no intention of taking any further steps to implement that intended merger.

## Name and Title of person authorised to sign:

**Authorised Signature: Date:**

**For Offic Use only** Authority file number: Date filed:

......................... ................................... .......................

**NB:** The form should be sent to the Competition and Consumer Authority at the following address:

# C.958

Competition and Consumer Authority Private Bag 00101

Gaborone

# C.959

FORM O

## COMPETITION AND CONSUMER AUTHORITY CERTIFICATE OF CONSENT TO ABANDONMENT OF MERGER

(*reg. 23* (*2*))

To: Chief Executive Officer

Competition and Consumer Authority Gaborone

**TAKE NOTICE THAT** by consent and/or following abandonment of the merger that was notified to the Authority in the manner and style of *(state the merger parties)*

we, the undersigned, confirm that the merger shall not proceed.

WE UNDERSTAND that should we resolve to proceed with the merger in future with the withdrawal parties or any other parties, we shall have to comply with the requirements under PART XI of the Competition Act and/or any amendments thereto as at that time may be in force.

## Name and Title of person authorised to sign:

**Authorised Signature: Date:**

**C.960**

FORM P

## COMPETITION AND CONSUMER AUTHORITY

**NOTICE OF INTENTION FOR REVOCATION OF APPROVED MERGER**

(*reg 24*)

## To: Concerning:

(Name and file number of complaint)

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1. The Authority has approved merger filed by the above named applicant or enterprise on
2. The Authority gives notice that it intends to revoke the approval on the grounds that;
	1. the decision was based on materially incorrect or misleading information for which a party to the merger is responsible, or
	2. any condition attached to the approval of the merger that is material to the implementation is not complied with.
3. You may submit to the Authority within 30 days of receipt of the notice, any written representations which you may wish to make in regard to the proposed action.

## Name and Title of person authorised to sign: Authorised Signature: Date:

**C.961**

MADE this 27th day of November, 2019.

PEGGY O. SERAME,

*Minister of Investment, Trade and Industry.*

# C.962

*Statutory Instrument No. 155 of 2019*

CONSUMER PROTECTION ACT

(Act No. 5 of 2018)

## CONSUMER PROTECTION ACT (DATE OF COMMENCEMENT) ORDER, 2019

(*Published on 2nd December, 2019*) ARRANGEMENT OF PARAGRAPHS

PARAGRAPH

1. Citation
2. Commencement of Act No. 5 of 2018

IN EXERCISE of the powers conferred on the Minister of Investment, Trade and Industry by section 1 of the Consumer Protection Act, the following Order is hereby made —

Citation

Commencement of Act No. 5 of 2018

1. This Order may be cited as the Consumer Protection Act (Date of Commencement) Order, 2019.
2. The Consumer Protection Act, shall come into operation on 2nd December, 2019.

MADE this 27th day of November, 2019.

PEGGY O, SERAME,

*Minister of Investment, Trade and Industry.*

# C.963

*Statutory Instrument No. 156 of 2019*

COMPETITION ACT

(Act No. 4 of 2018)

## COMPETITION ACT

**(DATE OF COMMENCEMENT) ORDER, 2019**

(*Published on 2nd December, 2019*) ARRANGEMENT OF PARAGRAPHS

PARAGRAPH

* 1. Citation
	2. Commencement of Act No. 4 of 2018

IN EXERCISE of the powers conferred on the Minister of Investment, Trade and Industry by section 1 of the Competition Act, the following Order is hereby made —

1. This Order may be cited as the Competition Act (Date of Commencement) Order, 2019.
2. The Competition Act shall come into operation on 2nd December, 2019.

MADE this 27th day of November, 2019.

Citation

Commencement of Act No. 4 of 2018

PEGGY O. SERAME,

*Minister of Investment, Trade and Industry.*

# C.964

*Statutory Instrument No. 157 of 2019*

CONSUMER PROTECTION ACT

(Act No. 5 of 2018)

## CONSUMER PROTECTION REGULATIONS, 2019

(*Published on 2nd December, 2019*) ARRANGEMENT OF REGULATIONS

REGULATION

1. Citation
2. Lodging of Complaint
3. Service of summons
4. Investigation officer to produce identity card
5. Form of arrangement to settle complaint
6. Referral to the tribunal SCHEDULE

IN EXERCISE of the powers conferred on the Minister of Investment, Trade and Industry by section 47 of the Consumer Protection Act, the following Regulations are hereby made —

Citation

Lodging of complaint

Service of summons

1. These Regulations may be cited as the Consumer Protection Regulations, 2019.
2. (1) A complaint against violations of the Act to be lodged in accordance with section 29 of the Act shall be made to the Authority in Form A set out in the Schedule.

(2) The Authority shall, within 30 days of receiving a complaint made in accordance with subregulation (1) make available to the complainant a report containing recommendations as to whether or not there are sufficient grounds to warrant the investigations of an unfair business practice.

1. (1) A person summoned, in terms of section 31 of the Act to furnish any information on the subject of an investigation, shall be summoned in Form B set out in the Schedule.
2. Service of the summons on the person to be served, shall be effected in one or other of the following manner —
3. by delivering a copy thereof to the said person personally;
4. by leaving a copy thereof at the place of residence, employment or business of the said person with the person apparently in-charge of the premises at the time of delivery, being apparently not less than 16 years;
5. in the case of a corporation, by delivering a copy to the company secretary or other person in charge of administration at the corporation’s registered office or principal place of business;
6. in case of a consumer organisation, by delivering a copy to the person in-charge of administration at the consumer organisation’s principal place of business; or
7. where a local authority or a statutory body is to be served, by delivering a copy to the town clerk or assistant town clerk of such local authority or to the secretary of such body.
8. A person appearing before the authority in response to a summons shall be paid a daily rate of P100 as a witness.

# C.965

1. (1) An investigation officer shall identify himself or herself with the identity card issued in terms of subregulation 2 before commencing any function of investigation in accordance with these Regulations.

(2) An investigation officer shall be issued with an identity card in Form C, set out in the Schedule in accordance with section 4 of the Act.

1. (1) An arrangement concluded in accordance with section 34 of the Act shall be in Form D set out in the Schedule and shall be signed by the parties to the arrangement and witnesses thereof.

(2) A complainant who receives a settlement in accordance with subregulation

* 1. shall complete an acknowledgement of settlement in Form E set out in the Schedule.
1. (1) A referral to the Tribunal, whether by the Authority or by a complainant in accordance with section 36 (7) (*a*) of the Act, shall be in Form F set out in the Schedule.
	1. The Authority shall, where it does not refer a case to the Tribunal, in accordance with section 36 (1) (*b*), issue a notice of non-referral to the complainant in Form G set out in the Schedule.

Investigation officer to produce identity card

Form of arrangement to settle complaint

Referral to the Tribunal

# C.966

## SCHEDULE FORM A

CONSUMER COMPLAINT FORM

(*reg. 2*)

## OFFICIAL USE ONLY

|  |  |
| --- | --- |
| **Name of investigation Officer:** |  |
| **Date received:** |  |
| **Date allocated:** |  |
| **Office Reference:** |  |

INSTRUCTIONS

Please—

1. Print or type;
2. Provide all particulars;
3. Be brief and concise in Section D and E;
4. Note that the reverse side must also be completed; and
5. Include copies of all relevant documents.

## PERSONAL PARTICULARS

1. Name:……………………………………………………………

2. Residential Address………………………………………………

3. Postal Address:…………………………………………………...

4. ID/Passport No:…………………………………………….....…

5. Cell phone No: ………………………………… Email ….....................

6. Home Tel:......................................................Work Tel:……………....

## PARTICULARS OF PARTY AGAINST WHOM/WHICH COMPLAINT IS BEING LODGED

1. Name of the Registered Company and Business trading name:……………………………

…………………………………………..........………………

2. Physical address:…………………………………………………………………

3. Postal Address:…………………………………………………………………..

4. Business Tel No:………………………………………

## PARTICULARS OF A COMMODITY

* 1. Date of purchase of commodity or service:……………………………………………..
	2. Name of commodity/service:…………………………………………….
	3. Quantity of commodity or service:…………………………………………………………
	4. Price of commodity or service:………………………………………………………………
	5. Account No./Invoice No./Contract No.:…………………………………………………….

# C.967

If complaint is in regard of a Motor Vehicle;

6. Model:………………………………………Reg. No. …………………

7. Km Reading………………………………………………………………………………...

8. Year of Manufacture:…………………………………………………………………..........

## PARTICULARS OF COMPLAINT (This section MUST be completed)

**NB:** Please single out the **main points** of the complaint providing **names and dates** where possible. Indicate what steps you have taken to resolve the complaint with the **management**. Describe the reasons for your dissatisfaction. Extra paper may be used for more information.

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STATE WHAT YOU THINK WOULD BE A REASONABLE SOLUTION TO THE PROBLEM

…………………………………………………………………………………………………

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**DATE**:……………………………………………..**SIGNATURE**:……………

Send the form to:

Competition and Consumer Authority Private Bag 00101

Gaborone Tel: 3934278

# C.968

## FORM B

SUMMONS

(*reg. 3*)

**Office Ref:**……………………………

TO:……………………………………………………………………………………………

…………………………………………………………………………………………

ADDRESS:……………………….…………………………………………………………......

…………………………………………………………………………………………………

………………………………… in the matter of the alleged\*

…………………………………………………………………………………………………

…………………………………………………………………………………………………

…………………………………………………………………………………………………

………………………………………

You are hereby summoned to appear before a person in the service of the Authority for the investigation of the matter of alleged unfair business practice stated above:

on the…….……...…………day of………………………………...20………………………

at…………………………………………(place) at… (hrs)

Your appearance is for the purpose of —

(*a*)\*\*answering questions in connection with the matter stated above; and (*b*)\*\*Producing the following—

…………………………………………………………………………………………………

…………………………………… (*describe books, documents or objects*) and be questioned therewith.

\*(*State alleged unfair business practice complained of*)

\*\*( *Delete whichever is not applicable*)

……………………………………………………………………………… (date)

………………………………………………………………………………………….. Chief Executive Officer

Competition and Consumer Authority

## RETURN OF SERVICE

Summons received by………………………………………………….

Identity/Passport Number……………………………………………..

Date……………………………………………………………………

Time……………………………………………………………………

Place……………………………………………………………………

# C.969

## FORM C

INVESTIGATING OFFICER’S IDENTITY CARD

(*reg. 4*)

|  |  |  |
| --- | --- | --- |
| **COMPETITION AND CONSUMER AUTHORITY LOGO** | **COMPETITION AND CONSUMER AUTHORITY** | **COAT OF ARMS** |
|  | **IDENTIFICATION CARD** |  |
|  | **NAME OF OFFICER:** |  |
| **PASSPORT PHOTO** | **SIGNATURE OF OFFICER:** |  |

REVERSE SIDE

|  |
| --- |
| Issued under section 4 of the Consumer Protection Act, 2018This Officer is engaged by the Competition and Consumer Authority under the powers granted by the Consumer Protection Act and has been authorised to exercise the powers vested in him under the Act. |
| **DATE OF ISSUE** | **SIGNATURE OF CHIEF EXECUTIVE OFFICER** |
| Property of the Competition and Consumer Authority. If found, hand it to an officer of the Competition and Consumer Authority or to any Police Officer or mail it to the Chief Executive Officer, Private Bag 00101, Gaborone |

# C.970

## FORM D

ARRANGEMENT TO CONCLUDE COMPLAINT

(*reg. 5*) Made before the

THE COMPETITION AND CONSUMER AUTHORITY

Between

………………………………………………………………………………………………… COMPLAINANT

and

………………………………………………………………………………………………...

SUPPLIER/SERVICE PROVIDER

Represented herein by

…………………………………………………………………………………………………

WHEREAS THE PARTIES HAVING NEGOTIATED AND CONCLUDED THE FOLLOWING ARRANGEMENT:

1. The supplier/service provider has engaged in unfair business practices, namely

…………………………………………………………………………………………………

.………………………………………………………………………………………………...

1. The supplier/service provider shall: 2.1………………………………………………………………………………………….

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………………… 2.2………………………………………………………………………………………….

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………………… 2.3………………………………………………………………………………………….

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………………… 2.4………………………………………………………………………………………….

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………………… 2.5………………………………………………………………………………………….

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………………… 2.8…………………………………………………………………………………………..

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# C.971

2.9…………………………………………………………………………………………

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………………… 2.10…………………………………………………………………………………………

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…………………

1. The Competition and Consumer Authority shall not act under Part (IV) of the Consumer Protection Act or institute any other legal proceedings against the supplier /service provider (name) in relation to this Complaint that has been

resolved as foresaid.

Dated at……………………this …………………………day of……………………..20…….

WITNESSES …………………………………………………………............

For and on behalf of

COMPETITION AND CONSUMER AUTHORITY

WITNESSES ………………………………………………………………….

For and on behalf of the SUPPLIER/SERVICE PROVIDER WITNESSES …………………………………………………………………..

For and on behalf of the CONSUMER

MADE this………..day of …..………………. ………, 20….

# C.972

## FORM E

ARRANGEMENT TO CONCLUDE COMPLAINT (ACKNOWLEGEMENT) (*reg. 5* (*2*))

I… (Consumer) acknowledge that I

have received the goods/service provided (name)… or

the refund of …………………………………………………………………………………

……………………

**From:**…………………………………………………...............................………………......

Consumer Signature:……………………………………………………

**Date**:…………………………………………………

Supplier’s Signature:…………………………………………………….

**Date**:………………………………………………….

Officer in charge:…………………………………………………………………...

Signature:……………………………………………………………………………

**Date:**…………………………………………………………………..…………….

## Stamp:

**C.973**

FORM F

REFERRAL OF CASE TO THE TRIBUNAL (BY AUTHORITY OR COMPLAINANT)

(*reg 6* (*1*))

To: THE TRIBUNAL

Cc: THE AUTHORITY THE COMPLAINANT

## Concerning:

(Name and file number of complaint)

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1. TAKE NOTICE THAT I/We have referred the case to the Tribunal for the following rea- sons:

(*a*) -----------------------------------------------------------------------------------------------------

(*b*) -----------------------------------------------------------------------------------------------------

(*c*) -----------------------------------------------------------------------------------------------------

1. I/We have enclosed the following attachments:

(*a*)-------------------------------------------------------------------------------------------------------

(*b*)------------------------------------------------------------------------------------------------------

(*c*)-------------------------------------------------------------------------------------------------------

3. THUS DONE AND SIGNED this ------------day of-----------------------year---------------

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## AUTHORISED OFFICER/COMPLAINANT

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## WITNESS

**C.974**

**FORM G**

COMPETITION AND CONSUMER PROTECTION AUTHORITY NOTICE OF NON-REFERRAL OF CASE TO THE TRIBUNAL (*reg. 6* (*2*))

To: -----------------------------------------------------------------------------------------------------------

---------- (the complainant details)

## Concerning:

(Name and file number of complaint)

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1. Take notice that in the matter of the afore-mentioned which has been under investigation for day(s)/month(s), the Authority shall not refer the case to The Tribunal on

the following grounds;

(*a*)-------------------------------------------------------------------------------------------------------

(*b*)------------------------------------------------------------------------------------------------------

(*c*)-------------------------------------------------------------------------------------------------------

Etc.

1. The Authority has henceforth decided to: (tick as appropriate) o Discontinue with the investigation
	* Extend the period of the investigation as provided for under section of the Act
	* As per section of the Act, not refer to the Tribunal the following particulars

of the complaint:

1)----------------------------------------------------------------------------------------------------

2)----------------------------------------------------------------------------------------------------

3)----------------------------------------------------------------------------------------------------

1. The indicated particulars shall not be referred to the Tribunal on the following grounds: (*a*)--------------------------------------------------------------------------------------------------------

(*b*)--------------------------------------------------------------------------------------------------------

(*c*)--------------------------------------------------------------------------------------------------------

# C.975

## Name and Title of person authorised to sign:

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## Authorised Signature: Date:

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MADE this 22nd day of November, 2019.

PEGGY O. SERAME,

*Minister for Investment, Trade and Industry.*

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